

**UNITED STATES DISTRICT COURT  
NORTHERN DISTRICT OF CALIFORNIA**

Case No: 3:18-cr-00577-CRB-1

Case Name: USA v. Michael Richard LynchUSA v. Stephen Keith Chamberlain

**TRIAL SHEET, EXHIBIT and WITNESS LIST**

|                                     |  |   |
|-------------------------------------|--|---|
| <b>JUDGE:</b><br>Charles R. Breyer  | <b>PLAINTIFF ATTORNEY:</b><br>Adam Reeves, Kristina Green,<br>Robert Leach, Zacahry Abrahamson | <b>DEFENSE ATTORNEY:</b><br>Gary Lincenberg, Michael Landman, Ray Seilie (Chamberlain)<br><br>Christopher J. Morvillo<br>Celeste Koeleveld<br>Brian Heberlig<br>Reid Weingarten (Lynch) |
| <b>TRIAL DATE:</b><br>April 3, 2024 | <b>REPORTER(S):</b><br>Rhonda Aquilina<br>Jennifer Coulthard                                   | <b>CLERK:</b><br>Lashanda Scott   |

| PLF NO. | DEF NO. | DATE/TIME OFFERED | ID | REC | DESCRIPTION   | BY |
|---------|---------|-------------------|----|-----|---|----|
|         |         | 9:17 a.m.         |    |     | Judge cried-in. All jurors present. All parties present. Defense (Chamberlain) cross-examination of witness <b>Brent Hogenson</b> |    |
|         | 7962    |                   | X  |     | Exhibit 7962 (first paragraph only) previously admitted   |    |
|         | 936     |                   | X  |     | Exhibit 936 previously admitted   |    |
|         | 29      |                   | X  |     | Exhibit 29 previously admitted  |    |
|         | 13029   |                   | X  |     | Exhibit 13029 previously admitted   |    |
|         | 9714    |                   | X  | X   | Exhibit 9714 marked and admitted  |    |
|         | 13030   |                   | X  |     | Exhibit 13030 previously admitted   |    |
|         | 797     |                   | X  |     | Exhibit 797 previously admitted   |    |
|         | 9660    |                   | X  |     | Exhibit 9660 previously admitted  |    |
|         | 9724    |                   | X  | X   | Exhibit 9724 marked and admitted<br>Email from B. Hogenson to R. Prasad re: RE: Aging Clean Up and Provisions                     |    |
|         | 968     |                   | X  | X   | Exhibit 968 marked and admitted<br>Email from Hogenson further responding to McMonigall (Audit Committee)                         |    |
|         | 13041   |                   | X  |     | Exhibit 13041 marked and admitted   |    |
|         |         |                   |    |     |   |    |

|       |       |                          |   |   |  |  |
|-------|-------|--------------------------|---|---|--|--|
|       | 9694  |                          | X |   | Exhibit 9694 previously admitted   |  |
|       | 13041 |                          | X |   | Exhibit 13041 previously admitted  |  |
|       | 16703 |                          | X | X | Exhibit 16703 previously admitted  |  |
|       | 9717  |                          | X |   | Exhibit 9717 marked and admitted<br>Email from S. Hussain to S. Chamberlain, J. Scott<br>cc: P. Tejeda re: RE: Lilly   |  |
|       | 9718  |                          | X |   | Exhibit 9718 marked and admitted<br>Email from S. Hussain to J. Scott, S. Chamberlain, S. Egan re: Lilly   |  |
|       |       | 10:28 a.m.<br>10:34 a.m. |   |   | Jurors on break<br>Discussion re Admission of Exhibit 9718<br>Court in recess  |  |
|       |       | 10:55 a.m.               |   |   | Court reconvened. Court Reporter – Rhonda Aquilina. All jurors present. All parties present. Defense (Chamberlain) cross-examination of witness Brent Hogenson |  |
|       | 428   |                          | X |   | Exhibit 428 previously admitted  |  |
|       |       | 10:56 a.m.               |   |   | Government re-direct of witness Brent Hogenson   |  |
| 15401 |       |                          | X |   | Exhibit 15401 previously admitted  |  |
| 904   |       |                          | X |   | Exhibit 904 previously admitted  |  |
| 909   |       |                          | X |   | Exhibit 909 previously admitted  |  |
| 7961  |       |                          | X |   | Exhibit 7961 marked  |  |
| 8612  |       |                          | X |   | Exhibit 8612 marked  |  |
| 8583  |       |                          | X |   | Exhibit 8583 previously admitted   |  |
| 13058 |       |                          | X |   | Exhibit 13058 previously admitted  |  |
| 8603  |       |                          | X |   | Exhibit 8603 previously admitted   |  |
| 7962  |       |                          | X |   | Exhibit 7962 previously admitted   |  |
| 8604  |       |                          | X |   | Exhibit 8604 previously admitted   |  |
| 13057 |       |                          | X |   | Exhibit 13057 previously admitted  |  |
|       |       | 11:59 a.m.               |   |   | Court in recess.   |  |
|       |       | 1:02 p.m.                |   |   | Court reconvened. Court Reporter – Jennifer Coulthard. All jurors present. All parties present. Defense (Lynch) re-cross examination of witness Brent Hogenson |  |
|       | 904   |                          | X |   | Exhibit 904 previously admitted  |  |
|       | 909   |                          | X |   | Exhibit 909 previously admitted  |  |
|       |       | 1:22 p.m.                |   |   | Defense (Chamberlain) re-cross examination of witness Brent Hogenson   |  |
|       |       |                          |   |   | Defense Opening Demonstrative  |  |
|       |       | 1:25 p.m.                |   |   | Witness excused  |  |
|       |       | 1:27 p.m.                |   |   | Government witness sworn Alexander Marshal   |  |
|       |       |                          |   |   |  |  |

|       |  |           |   |   |  |  |
|-------|--|-----------|---|---|--|--|
| 3259  |  |           | X | X | Government exhibit 3259 marked and admitted<br>Email from ColletH to ScottJ re "FW: FW: OEM Opportunity: Adobe Premier Elements -- Q4"                           |  |
| 592   |  |           | X | X | Exhibit 592 marked and admitted<br>Q4 2009 Autonomy Corporation plc Earnings Conference Call and Presentation  |  |
| 3262  |  |           | X |   | Exhibit 3262 marked  |  |
| 3265  |  |           | X | X | Exhibit 3265 marked and admitted<br>Email from Alex Marshall to Bill Marshall re "Re: AUTN Earnings"   |  |
|       |  | 2:20 p.m. |   |   | Jurors on break  |  |
|       |  | 2:24 p.m. |   |   | Discussion re attorney license v accounting license<br>Court in recess   |  |
|       |  | 2:50 p.m. |   |   | Court reconvened. Court Reporter – Jennifer Coulthard. All jurors present. All parties present. Government direct-examination of witness Alexander Marshall      |  |
| 661   |  |           | X | X | Exhibit 661 marked and admitted<br>Press Release: "Autonomy Corporation PLC Announces Results for the First Quarter Ended March 31, 2010"                        |  |
| 1352  |  |           | X |   | Exhibit 1352 previously admitted   |  |
| 1788  |  |           | X | X | Exhibit 1788 marked and admitted<br>Press Release: "Autonomy Corporation PLC Trading Update for the Quarter Ended March 31, 2011"                                |  |
| 3268  |  |           | X | X | Exhibit 3268 marked and admitted<br>Email from BlogsJ to JB55156, Bcc to several news media re "Key Questions HP should be Asking about Autonomy's OEM Revenues" |  |
| 3266  |  |           | X | X | Exhibit 3266 marked and admitted<br>Email from BreaM to LynchM/ KanterA re "Have you guys seen this? Urgently need your help..."                                 |  |
| 16952 |  |           | X | X | Exhibit 16952 marked and admitted<br>Autonomy sales quotation for Ministry of Defense  |  |
| 12213 |  |           | X | X | Exhibit 12213 marked and admitted<br>SFO Q2 2009\  |  |
| 12052 |  |           | X | X | Exhibit 12052 marked and admitted<br>Bloomberg Q3 2009\  |  |
| 12053 |  |           | X | X | Exhibit 12053 marked and admitted<br>Bloomberg Q3 2009\  |  |
|       |  | 3:15 p.m. |   |   | Jurors excused until April 4, 2024 at 9:15 a.m.<br>Discussion re remain timing of witness Marshall.<br>Discussion re In camera review on evidence.               |  |
|       |  | 3:22 p.m. |   |   | Court adjourned until April 3, 2024 at 9:15 a.m.   |  |